

A Toy Model for Three-Dimensional Conformal Probability

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Main reference: A.A., “Towards three-dimensional conformal probability”, arXiv:1511.03180[math.PR]

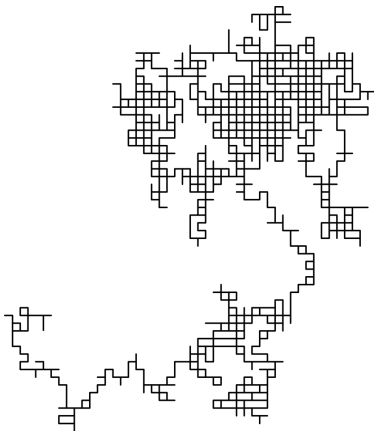
Colloquium at the UMD Mathematics Department
February 1, 2017

- ① Introduction
- ② The Euclidean CFT model: conjectures
- ③ The p-adic toy model: some theorems
- ④ The method: space-dependent renormalization group

1) Scaling limits:

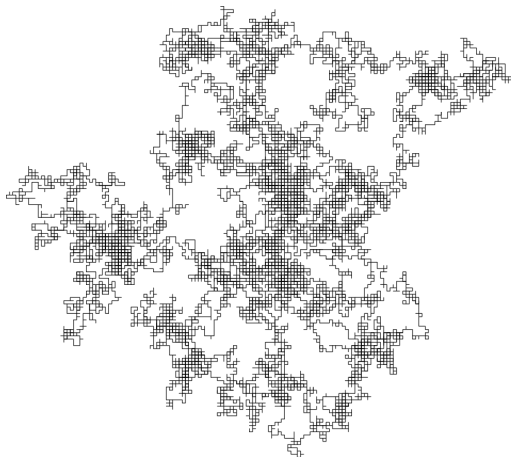
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Simple random walk on a lattice



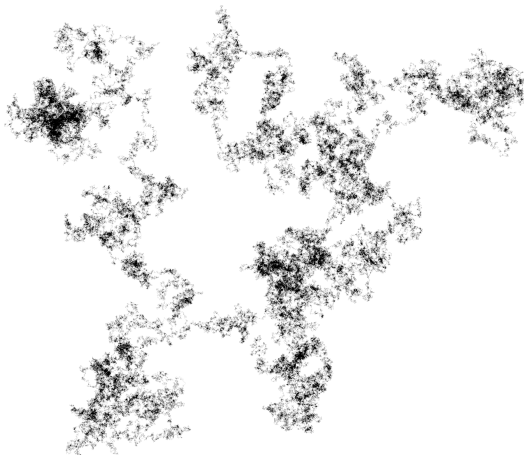
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from far away...



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- ② more **symmetries** (e.g., 90 degree rotations \rightarrow all rotations)

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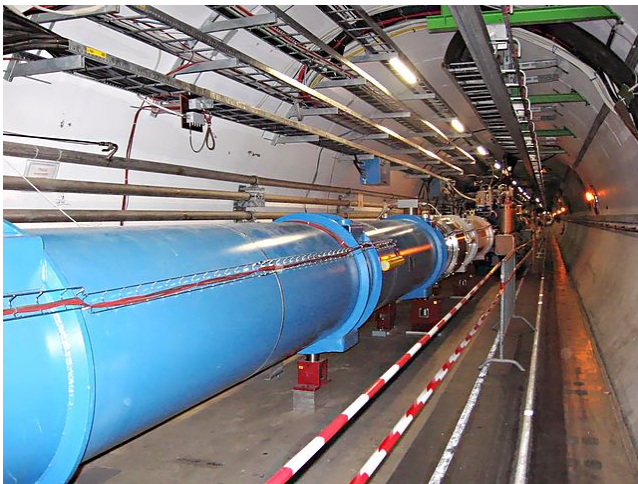
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The dilation factor λ becomes $|f'(t)|$, i.e., local or **space-dependent**.

2) Second motivation, quantum field theory:



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$$\frac{1}{\mathcal{Z}} \exp \left(- \int_{\mathbb{R}^d} \left\{ \frac{1}{2} (\nabla \phi)^2(x) + \mu \phi(x)^2 + g \phi(x)^4 \right\} d^d x \right) D\phi$$

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Hence $L^{rd} \sum_{x \in L^r \mathbb{Z}^d} \phi(x) \delta_x \rightarrow \phi$ in $S'(\mathbb{R}^d)$ (for weak-*).

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Let $\sigma_{\mathbf{x}} = 0$ if $\mathbf{x} \leq 0$ and $\sigma_{\mathbf{x}} = \sum_{0 < \mathbf{y} \leq \mathbf{x}} \omega_{\mathbf{y}}$ if $\mathbf{x} > 0$, where the steps ω are independent equal to ± 1 with probability $\frac{1}{2}$.

Let $\phi_r = L^{r(d-[\phi])} \sum_{\mathbf{x} \in \mathbb{Z}^d} \sigma_{\mathbf{x}} \delta_{L^r \mathbf{x}}$. Then for $f \in S(\mathbb{R})$ we have

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By the Dominated Convergence Theorem

$$\begin{aligned} \langle e^{i\phi_r(f)} \rangle &:= \mathbb{E} e^{i\phi_r(f)} \\ &= \lim_{N \rightarrow +\infty} \left\langle \exp \left(i L^{r(1-[\phi])} \sum_{0 < \mathbf{y} \leq N} \omega_{\mathbf{y}} \left(\sum_{\mathbf{x} \geq \mathbf{y}} f(L^r \mathbf{x}) \right) \right) \right\rangle \end{aligned}$$

$$= \lim_{N \rightarrow +\infty} \prod_{0 < \mathbf{y} \leq N} \cos \left(L^{r(1-[\phi])} \left(\sum_{\mathbf{x} \geq \mathbf{y}} f(L^r \mathbf{x}) \right) \right)$$

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Taking $r \rightarrow -\infty$ limit, we essentially get

$$\sim \exp \left[\sum_{y > 0} \log \cos \left\{ L^{-r[\phi]} \left(L^r \sum_{x \geq y} f(L^r \mathbf{x}) \right) \right\} \right]$$

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$$\rightarrow \exp \left[-\frac{1}{2} \int_0^{+\infty} \left(\int_y^{+\infty} f(x) dx \right)^2 dy \right] \text{ if } [\phi] = -\frac{1}{2}.$$

Hence

$$\lim_{r \rightarrow -\infty} \langle e^{i\phi_r(f)} \rangle = \exp \left(-\frac{1}{2} \langle \phi(f)\phi(f) \rangle \right)$$

with

$$\langle \phi(f)\phi(f) \rangle = \int_{\mathbb{R}^2} \langle \phi(x_1)\phi(x_2) \rangle f(x_1)f(x_2) dx_1 dx_2$$

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Finally, use the Lévy Continuity Theorem on $S'(\mathbb{R})$. QED

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At the critical temperature, the Ising random field $(\sigma_{\mathbf{x}})_{\mathbf{x} \in \mathbb{Z}^2}$ with ± 1 values is such that the law of $\phi_r = L^{r(d-[\phi])} \sum_{\mathbf{x} \in \mathbb{Z}^d} \sigma_{\mathbf{x}} \delta_{L^r \mathbf{x}}$, with $d = 2$ and $[\phi] = \frac{1}{8}$ converges weakly, when $r \rightarrow -\infty$, to a conformally invariant non-Gaussian probability measure on $S'(\mathbb{R}^2)$.

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Result due to Camia-Garban-Newman (Ann. Probab. 2015) and Chelkak-Hongler-Izyurov (Ann. Math. 2015).

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For **all** exponent $\alpha < \frac{5}{4}$, this is an open problem.

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Can be seen as continuous limit of spin models, like Ising, with ferromagnetic **long-range** interactions.

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Again, fix zooming-out ratio $L > 1$.

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Given a choice of parameters $(g_r, \mu_r)_{r \in \mathbb{Z}}$, one has well-defined probability measures $d\nu_{r,s}(\phi)$ whose Radon-Nikodym derivatives with respect to $d\mu_{C_r}(\phi)$ is

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The scale invariant measure for (fractional) ϕ^4 model should be the weak limit $\nu_\phi = \lim_{r \rightarrow -\infty} \lim_{s \rightarrow \infty} \nu_{r,s}$ for a choice $(g_r, \mu_r)_{r \in \mathbb{Z}}$ that emulates the scaling limit of a fixed critical lattice random field (like for 2D Ising).

Conjecture 1:

Let $[\phi] = \frac{3-\epsilon}{4}$ with $0 < \epsilon \ll 1$.

There exists a nonempty open interval $I \subset (0, \infty)$ and a function $\mu_c : I \rightarrow \mathbb{R}$ such that for all $g \in I$, if one lets $g_r = L^{-r(3-4[\phi])}g$ and $\mu_r = L^{-r(3-2[\phi])}\mu_c(g)$, then the weak limit ν_ϕ exists, is non-Gaussian, stationary, $O(3)$ -invariant, and scale invariant with exponent $[\phi]$, i.e., $\lambda^{[\phi]}\phi(\lambda \cdot) \stackrel{dd}{=} \phi(\cdot)$ for all $\lambda > 0$.

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Measure constructed on \mathbb{T}^3 torus by Mitter (~ 2004) using RG fixed point obtained by Brydges-Mitter-Scoppola CMP 2003.

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By the Schwartz Kernel Theorem S_n can be seen as an element of $S'(\mathbb{R}^{3n})$.

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Conj. 3 is a precise formulation of predictions made in “Conformal invariance in the long-range Ising model” by Paulos, Rychkov, van Rees and Zan, Nucl. Phys. B 2016 — **>**
Higher dimensional conformal bootstrap program.

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Correspondence: $f \in \mathcal{M}(\mathbb{R}^3) \leftrightarrow$ hyperbolic isometry of the interior \mathbb{B}^4 or \mathbb{H}^4 .

- ① Introduction
- ② The Euclidean CFT model: conjectures
- ③ The p-adic toy model: some theorems
- ④ The method: space-dependent renormalization group

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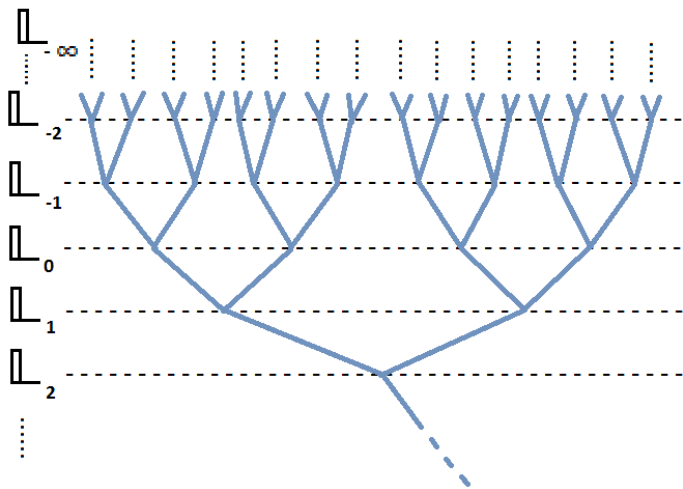
Let \mathbb{L}_k , $k \in \mathbb{Z}$, be the set of cubes $\prod_{i=1}^d [a_i p^k, (a_i + 1) p^k)$ with $a_1, \dots, a_d \in \mathbb{N}_0$. The cubes of \mathbb{L}_k form a partition of the octant $[0, \infty)^d$.

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Hence $\mathbb{T} = \cup_{k \in \mathbb{Z}} \mathbb{L}_k$ naturally has the structure of a **doubly** infinite tree which is organized into layers or generations \mathbb{L}_k :



Picture for $d = 1$, $p = 2$

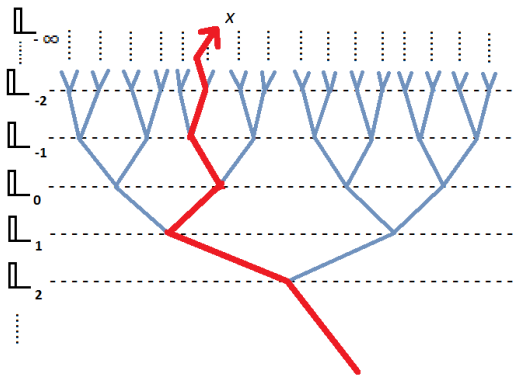
Forget $[0, \infty)^d$ and \mathbb{R}^d and just keep the tree.

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More precisely, these are the infinite bottom-up paths in the tree.



A path representing an element $x \in \mathbb{Q}_p^d$

A point $x \in \mathbb{Q}_p^d$ is encoded by a sequence $(a_n)_{n \in \mathbb{Z}}$,
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Caution! dangerous notation

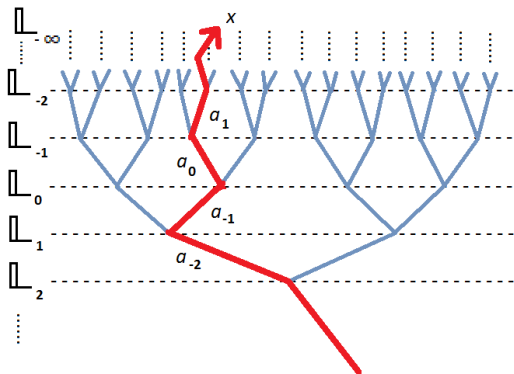
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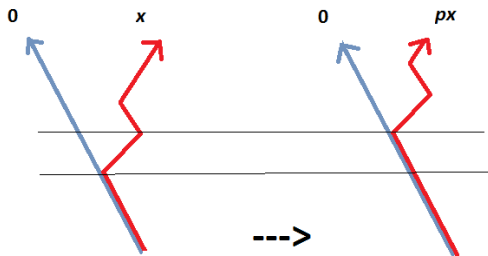


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Likewise $p^{-1}x$ is downward shift, and so on for the definition of $p^k x$, $k \in \mathbb{Z}$.

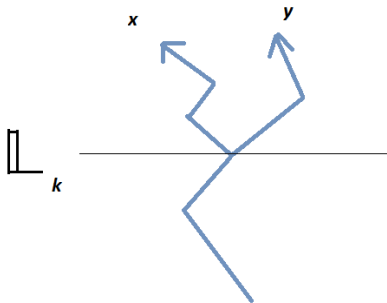
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If $x, y \in \mathbb{Q}_p^d$, define their distance as $|x - y| := p^k$ where k is the depth where the two paths merge.

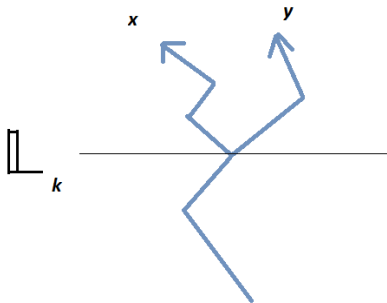
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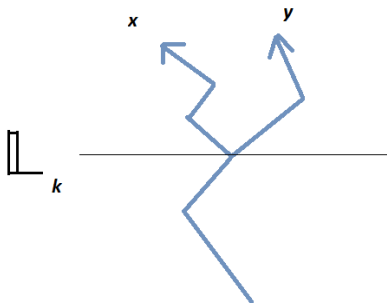
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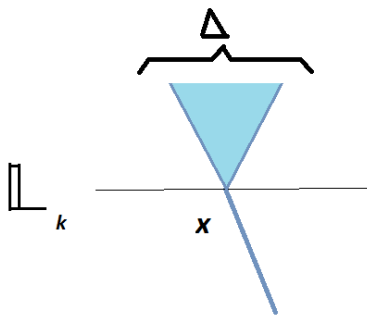


Also let $|x| := |x - 0|$. Because of the dangerous notation

$$|px| = p^{-1}|x|$$

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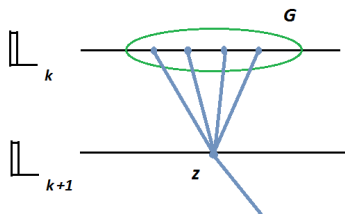
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Construction: take product of uniform probability measures on $(\{0, 1, \dots, p-1\}^d)^{\mathbb{N}_0}$ for $\overline{B}(0, 1)$. Do the same for the other closed unit balls, and collate.

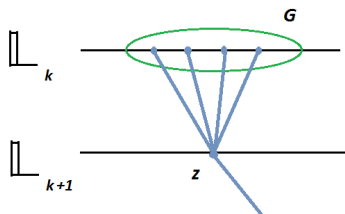
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This is heuristic since ϕ is not well-defined in a pointwise manner. **We need random Schwartz(-Bruhat) distributions.**

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where for all $t_- \leq t_+$, $S_{t_-, t_+}(\mathbb{Q}_p^d)$ denotes the space of functions which are constant in each of the closed balls of radius p^{t_-} and with support inside $\overline{B}(0, p^{t_+})$.

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Topology generated by the set of **all** possible semi-norms.

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Probability Theory on $S'(\mathbb{Q}_p^d)$ is super!

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- ⑥ $S'(\mathbb{Q}_p^d) \times S'(\mathbb{Q}_p^d) \simeq S'(\mathbb{Q}_p^d)$ the machinery also works for join laws of pairs of random distributions, e.g., $(\phi, N[\phi^2])$ in following slides.

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If the law of $\phi(\cdot)$ is μ_{C_0} , then that of $L^{-r[\phi]} \phi(L^r \cdot)$ is μ_{C_r} .

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Let

$$V_{r,s}(\phi) = \int_{\Lambda_s} \{g_r : \phi^4 :_{C_r}(x) + \mu_r : \phi^2 :_{C_r}(x)\} d^3x$$

and define the probability measure

$$d\nu_{r,s}(\phi) = \frac{1}{Z_{r,s}} e^{-V_{r,s}(\phi)} d\mu_{C_r}(\phi)$$

Let $\phi_{r,s}$ be the random distribution in $S'(\mathbb{Q}_p^3)$ sampled according to $\nu_{r,s}$ and define the **squared field** $N_r[\phi_{r,s}^2]$ which is a **deterministic** function(al) of $\phi_{r,s}$, with values in $S'(\mathbb{Q}_p^3)$, given by

$$N_r[\phi_{r,s}^2](j) = Z_2^r \int_{\mathbb{Q}_p^3} \{ Y_2 : \phi_{r,s}^2 :_{C_r}(x) - Y_0 L^{-2r[\phi]} \} j(x) d^3x$$

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The main result concerns the limit law of the pair $(\phi_{r,s}, N_r[\phi_{r,s}^2])$ in $S'(\mathbb{Q}_p^3) \times S'(\mathbb{Q}_p^3)$ when $r \rightarrow -\infty, s \rightarrow \infty$ (in any order).

For the precise statement we need the approximate fixed point value

$$\bar{g}_* = \frac{p^\epsilon - 1}{36L^\epsilon(1 - p^{-3})}$$

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Theorem 1: A.A.-Chandra-Guadagni 2013

$\exists \rho > 0, \exists L_0, \forall L \geq L_0, \exists \epsilon_0 > 0, \forall \epsilon \in (0, \epsilon_0], \exists [\phi^2] > 2[\phi],$
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- ③ $\langle N[\phi^2](\mathbf{1}_{\mathbb{Z}_p^3}), N[\phi^2](\mathbf{1}_{\mathbb{Z}_p^3}) \rangle^T = 1.$

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The law $\nu_{\phi \times \phi^2}$ of $(\phi, N[\phi^2])$ is independent of g : **universality**.

Theorem 2: A.A.-Chandra-Guadagni 2013

$\nu_{\phi \times \phi^2}$ is **fully** scale invariant, i.e., invariant under the action of the scaling group $p^{\mathbb{Z}}$ instead of the subgroup $L^{\mathbb{Z}}$. Moreover, $\mu(g)$ and $[\phi^2]$ are independent of the arbitrary factor L .

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Note that $2[\phi^2] = 3 - \frac{1}{3}\epsilon + o(\epsilon) \rightarrow$ still $L^{1,loc}$!

Theorem 3: A.A., May 2015

Use ψ_i to denote ϕ or $N[\phi^2]$. Then, for all mixed correlation \exists a smooth function $\langle \psi_1(z_1) \cdots \psi_n(z_n) \rangle$ on $(\mathbb{Q}_p^3)^n \setminus \text{Diag}$ which is locally integrable (on the diagonal Diag and such that

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In other words, $\nu_{\phi \times \phi^2}$ is DPC (this is the toy model version of Conj. 2).

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The AdS bulk (interior) is the tree \mathbb{T} with the graph distance. Analogue of hyperbolic metric.

Mumford-Manin-Drinfeld Lemma

$$CR(x_1, x_2, x_3, x_4) := \frac{|x_1 - x_3| |x_2 - x_4|}{|x_1 - x_4| |x_2 - x_3|} = p^{-\delta(x_1 \rightarrow x_2; x_3 \rightarrow x_4)},$$

where $\delta(x_1 \rightarrow x_2; x_3 \rightarrow x_4)$ is the number of common edges for the two bi-infinite paths $x_1 \rightarrow x_2$ and $x_3 \rightarrow x_4$, counted positively if orientations agree and negatively otherwise.

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$f \in \mathcal{M}(\mathbb{Q}_p^3) \leftrightarrow$ hyperbolic isometry of the interior \mathbb{T} .

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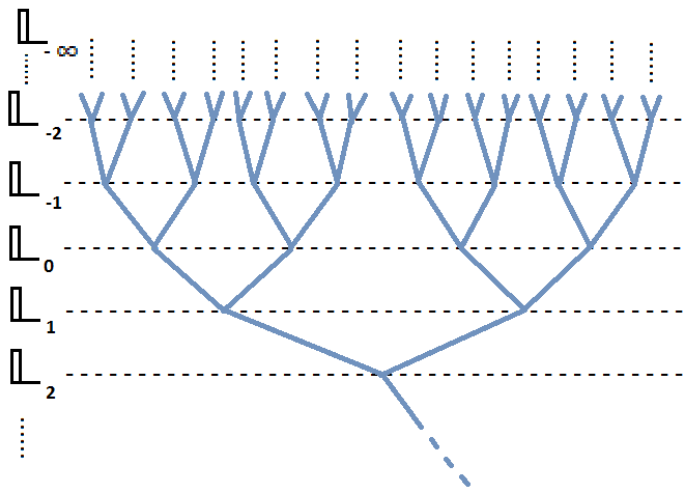
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The space-dependent RG of ACG 2013 \rightarrow space-dependent UV cut-off \rightarrow Conj. 3 by showing the equivalence between usual flat (in half-space) cut-off hypersurface and the spherical one in conformal ball model.



The tree, once again.

- ① Introduction
- ② The Euclidean CFT model: conjectures
- ③ The p-adic toy model: some theorems
- ④ The method: space-dependent renormalization group

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Take $RG(a, b) = \left(\frac{a+b}{2}, \sqrt{ab} \right)$.

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$$\int \{g : \phi^4 : (x) + \mu : \phi^2 : (x)\} d^d x$$

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Rigorous nonperturbative version of the **local RG**:

Wilson-Kogut PR 1974, Drummond-Shore PRD 1979,

Jack-Osborn NPB 1990,...

used for generalizations of Zamolodchikov's **c**-"Theorem",
study of scale versus conformal invariance, AdS/CFT,...

1st step: switch to unit lattice/cut-off

$$\mathcal{S}_{r,s}^T(f) := \log \mathbb{E}_{\nu_{r,s}} e^{i\phi(f)} = \log$$

$$\frac{\int d\mu_{C_r}(\phi) \exp\left(-\int_{\Lambda_s} \{g_r : \phi^4 :_r(x) + \mu_r : \phi^2 :_r\} dx + \int \phi(x)f(x)dx\right)}{\int d\mu_{C_r}(\phi) \exp\left(-\int_{\Lambda_s} \{g_r : \phi^4 :_r(x) + \mu_r : \phi^2 :_r\} dx\right)}$$

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with

$$\begin{aligned} \mathcal{I}^{(r,r)}[f](\phi) &= \exp\left(-\int_{\Lambda_{s-r}} \{g : \phi^4 :_0(x) + \mu : \phi^2 :_0\} d^3x\right. \\ &\quad \left.+ L^{(3-[\phi])r} \int \phi(x) f(L^{-r}x) d^3x\right) \end{aligned}$$

2nd step: define inhomogeneous RG

Fluctuation covariance $\Gamma := C_0 - C_1$.

Associated Gaussian measure is the law of the fluctuation field

$$\zeta(x) = \sum_{0 \leq k < \ell} p^{-k[\phi]} \zeta_{\text{anc}_k(x)}$$

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$$\begin{aligned} \int \mathcal{I}^{(r,r)}[f](\phi) d\mu_{C_0}(\phi) &= \int \int \mathcal{I}^{(r,r)}[f](\zeta + \psi) d\mu_{\Gamma}(\zeta) d\mu_{C_1}(\psi) \\ &= \int \mathcal{I}^{(r,r+1)}[f](\phi) d\mu_{C_0}(\phi) \end{aligned}$$

with new integrand

$$\mathcal{I}^{(r,r+1)}[f](\phi) = \int \mathcal{I}^{(r,r)}[f](\zeta + L^{-[\phi]} \phi(L \cdot)) d\mu_{\Gamma}(\zeta)$$

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One must control

$$\mathcal{S}^T(f) = \lim_{\substack{r \rightarrow -\infty \\ s \rightarrow \infty}} \sum_{r \leq q < s} (\delta b(\mathcal{I}^{(r,q)}[f]) - \delta b(\mathcal{I}^{(r,q)}[0]))$$

limit of logarithms of characteristic functions.

Use a Brydges-Yau **lift**

$$\begin{array}{ccc} \vec{V}(r,q) & \xrightarrow{RG_{\text{inhom}}} & \vec{V}(r,q+1) \\ \downarrow & & \downarrow \\ \mathcal{I}(r,q) & \longrightarrow & \mathcal{I}(r,q+1) \end{array}$$

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Dynamical variable is $\vec{V} = (V_{\Delta})_{\Delta \in \mathbb{L}_0}$ with

$$V_{\Delta} = (\beta_{4,\Delta}, \beta_{3,\Delta}, \beta_{2,\Delta}, \beta_{1,\Delta}, W_{5,\Delta}, W_{6,\Delta}, f_{\Delta}, R_{\Delta})$$

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Stable subspaces

$\mathcal{E}_{\text{hom}} \subset \mathcal{E}_{\text{inhom}}$: spatially constant data.

$\mathcal{E} \subset \mathcal{E}_{\text{hom}}$: even potential, i.e., g , μ 's only and R even function.

Let RG be induced action of RG_{inhom} on \mathcal{E} .

3rd step: stabilize bulk (homogeneous) evolution

Show that $\forall q \in \mathbb{Z}$, $\lim_{r \rightarrow -\infty} \vec{V}^{(r,q)}[0]$

exists, i.e.,

$$\lim_{r \rightarrow -\infty} RG^{q-r} \left(\vec{V}^{(r,r)}[0] \right)$$

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Tadpole graph with mass insertion

$$A_3 = 12L^{3-2[\phi]} \int_{\mathbb{Q}_p^3} \Gamma(0, x)^2 d^3x$$

is main culprit for anomalous scaling dimension

$$[\phi^2] - 2[\phi] > 0.$$

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Thus

$$\forall q \in \mathbb{Z}, \quad \lim_{r \rightarrow -\infty} \vec{V}^{(r,q)}[0] = v_*$$

Tangent spaces at fixed point: E^s and E^u .

$E^u = \mathbb{C}e_u$, with e_u eigenvector of $D_{v_*}RG$ for eigenvalue $\alpha_u = L^{3-2[\phi]} \times Z_2 =: L^{3-[\phi^2]}$.

4th step: control inhomogeneous evolution (deviation from bulk) for all effective (logarithmic) scale q ,
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For source term with ϕ^2 add

$$Y_2 Z_2^r \int : \phi^2 :_{C_r}(x) j(x) d^3 x$$

to potential. $\mathcal{S}_{r,s}^T(f, j)$ now involves two test functions. After rescaling to unit lattice/cut-off

$$Y_2 \alpha_u^r \int : \phi^2 :_{C_0}(x) j(L^{-r} x) d^3 x$$

to be combined with μ into $(\beta_{2,\Delta})_{\Delta \in \mathbb{L}_0}$ **space-dependent mass**.

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If there were no W^s directions (1D dynamics) then Ψ would be conjugation \rightarrow **Poincaré-Kœnigs Theorem**.

$\Psi(v, w)$ is **holomorphic** in v and w .

Essential for probabilistic interpretation of $(\phi, N[\phi^2])$ as pair of random variables in $S'(\mathbb{Q}_p^3)$.

Thank you for your attention.